

11/08/01
JC931 U.S. PTO

12-10-01

PATENT
674523-2013
Attorney Docket No.

A

Patent Application Transmittal
(only for new nonprovisional applications
under 37 C.F.R. 1.53(b))
Correspondence Address:
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800 Fax: (212) 588-0500
Customer No. 20999

11002 U.S. PTO
10/008610
11/08/01

Date: November 8, 2001

TO: COMMISSIONER FOR PATENTS
Box PATENT APPLICATION
Washington, D.C. 20231

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Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the names of:

Patrick AEBISCHER, Susan Mary KINGSMAN, Stuart NAYLOR and Nicholas MAZARAKIS

Entitled: **Lentiviral-Mediated Growth Factor Gene Therapy For Neurodegenerative Diseases**

 X This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee.

 X Undersigned attorney hereby states that this is an application of a Small Entity.

The following are enclosed:

 X Specification (69 Pages), Abstract (1 page; p. 73)

 X 9 Sheet(s) of Drawings

 X 30 Claims (including 10 independent claims) (pages 70-72)

 PCT/ISA/220, 210, PCT/IPEA/401, 409, 416

 Petition To Make Special

 Preliminary Amendment To Comply With Requirements For Patent Applications
Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures

 Our checks totaling \$, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

00036368

10008610-110801

Basic Fee
\$740.00 (370.00).....000.00

Total Number of Claims in excess
of 20 at \$18.00 (9.00) each000.00

Number of Independent Claims in
excess of 3 at \$84.00 (42.00) each.....000.00

Multiple Dependent Claim Fee
at \$280.00 (140.00).....000.00

Total Filing Fee.....000.00

Assignment Recording Fee \$40.00080.00

Petition to Make Special Fee \$130.00000.00

 X Oath or Declaration and Power of Attorney

 New signed X unsigned
 Copy from prior application (37 C.F.R. 1.63(d))

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 X The following application from which priority is claimed, should be available to
the USPTO:

60/247,604 filed November 8, 2000

The filing fee will be paid upon receipt of a Notice to File Missing Parts; and the
response thereto may include a Preliminary Amendment reducing the number of claims and ergo
the claim fee. Please accord this application a November 8, 2001 filing date.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: Thomas J. Kowalski
Thomas J. Kowalski
Reg. No. 32,147
(212) 588-0800

EXPRESS MAIL

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Date of Deposit: November 8, 2001

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Washington, D.C. 20231.

Islande Dupoux

(Typed or printed name of person mailing paper or fee)

Islande Dupoux
(Signature of person mailing paper or fee)

Full name of 1st co-inventor: Patrick AEBISCHER
Residence: Division of Surgical Research and Gene Therapy Center, Lausanne Medical
School, Lausanne, Switzerland
Post Office: _____
Citizenship: Swiss

Full name of 2nd co-inventor: Susan Mary Kingsman
Residence: Oxford BioMedica (UK) Limited
Medawar Centre, Robert Robinson Avenue, The Oxford Science Park
Oxford, OX4 4GA, United Kingdom
Post Office: _____
Citizenship: Great Britain

Full name of 3rd co-inventor: Stuart Naylor
Residence: Oxford BioMedica (UK) Limited
Medawar Centre, Robert Robinson Avenue, The Oxford Science Park
Oxford, OX4 4GA, United Kingdom
Post Office: _____
Citizenship: Great Britain

Full name of 4th co-inventor: Nicholas Mazarakis
Residence: Oxford BioMedica (UK) Limited
Medawar Centre, Robert Robinson Avenue, The Oxford Science Park
Oxford, OX4 4GA, United Kingdom
Post Office: _____
Citizenship: Great Britain

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CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION

Attorney Docket No. : 674523-2013

Title : **LENTIVIRAL-MEDIATED GROWTH FACTOR GENE
THERAPY FOR NEURODEGENERATIVE DISEASES**

Filed : November 8, 2001

Applicant : AEBISCHER et al.

Mailed : Via Express Mail Label #EV 001580108 US

I hereby certify that the following items are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

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Enclosed: Transmittal
Application for Patent
(73 total pages, 69 pages of specification, 3 pages, pp. 70-72, of claims
numbered 1-30, 1 page of abstract, p. 73)
Drawings (9 pages, Figures 1A-E, 2A-D, 3A-F, 4A-F, 5A-B, 6A-H, 7A-D, 8A-E,
and 9A-D)
Declaration for Patent Application and Power of Attorney (unsigned)
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Signature

10008610-110801